

These rules remain in draft and are yet to be made by the SRA Board. They may be subject to minor amendment after consultation on Phase 2 of Looking to the Future.

SRA Principles

Introduction

The SRA Principles comprise the fundamental tenets of ethical behaviour that we expect all those that we regulate to uphold. This includes all individuals we authorise to provide legal services (solicitors, RELs and RFLs), as well as authorised firms and their managers and employees.

Should the Principles come into conflict, those which safeguard the wider public interest (such as the rule of law, and public confidence in a trustworthy solicitors' profession and a safe and effective market for regulated legal services) take precedence over an individual client's interests. You should, where relevant, inform your client of the circumstances in which your duty to the Court and other professional obligations will outweigh your duty to them.

The Principles and Codes are underpinned by our Enforcement Strategy, which explains in more detail our approach to taking regulatory action in the public interest [Link].

Principles

The principles are as follows:

You:

1. uphold the rule of law and the proper administration of justice
2. act in a way that upholds public trust and confidence in the solicitors' profession and in legal services provided by *authorised persons*
3. act with independence
4. act with honesty and with integrity
5. act in a way that encourages equality, diversity and inclusion
6. act in the best interests of each *client*

Supplemental notes

Made by the SRA Board on [date].

Made under section 31 of the Solicitors Act 1974, sections 9 and 9A of the Administration of Justice Act 1985 and section 83 of the Legal Services Act 2007.

Approved by the Legal Services Board under paragraph 19 of Schedule 4 to the Legal Services Act 2007, on [date].

Commencing on [date].

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