

Completing your firm-wide risk assessment

7 February 2024

Having a firm-wide risk assessment in place is not only the foundation on which all your anti-money laundering processes are built, it's also a legal requirement.

We published updated guidance on preparing and completing your firm-wide risk assessment in September, and also published an updated template which may help you in devising your own assessment.

In this webinar we will:

- Provide tips and information on completing a firm-wide risk assessment
- Work through examples of how you might use/adapt the template we have published

We recommend you have your firm-wide risk assessment with you during the webinar, so you can review it alongside the session.

<u>Read our firm-wide risk assessment guidance</u> [https://www.sra.org.uk/link/d6bef84f776a4b4e83fb75f8f701150f.aspx], and access our templates.

V





<u>Download slides as PPT (PPTX 22 pages, 260KB)</u> [https://jobs.sra.org.uk/globalassets/documents/sra/news/events/2024/completing-your-firm-widerisk-assessment.pptx]

Download slides as PDF (PDF 22 pages, 291KB)

[https://jobs.sra.org.uk/globalassets/documents/sra/news/events/2024/completing-your-firm-widerisk-assessment.pdf]

Resources

What does my firm need to do? - Firm-wide risk assessments
[https://jobs.sra.org.uk/solicitors/resources-archived/money-laundering/what-does-my-firm need-do/#firm-wide]